

Real Paley-Wiener theorems in spaces of ultradifferentiable functions

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Notations

For $f \in L^1(\mathbb{R}^d)$ we define the **Fourier transform** as

$$\hat{f}(\xi) := \int_{\mathbb{R}^d} f(x) e^{-ix \cdot \xi} dx, \quad \xi \in \mathbb{R}^d.$$

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Given a *window function* $\psi \in L^2(\mathbb{R}^d)$, the **short-time Fourier transform** (Gabor transform) is defined as

$$V_\psi f(x, \xi) := \int_{\mathbb{R}^d} f(y) \overline{\psi(y-x)} e^{-iy \cdot \xi} dy, \quad x, \xi \in \mathbb{R}^d,$$

for $f \in L^2(\mathbb{R}^d)$.

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for $f \in L^2(\mathbb{R}^d)$. Moreover, the **Wigner transform** of $f \in L^2(\mathbb{R}^d)$ is

$$\text{Wig } f(x, \xi) = \int_{\mathbb{R}^d} f\left(x + \frac{t}{2}\right) \overline{f\left(x - \frac{t}{2}\right)} e^{-it \cdot \xi} dt, \quad x, \xi \in \mathbb{R}^d.$$

Classical Paley-Wiener Theorem

A function f satisfies $\hat{f} \in C_c^\infty(\mathbb{R})$ with $\text{supp } \hat{f} \subseteq [-R, R]$ if and only if f is an entire function such that for every $k \in \mathbb{N}_0$ there exists $C_k > 0$ such that

$$|f(z)| \leq C_k(1 + |z|)^{-k} e^{R|\text{Im } z|},$$

for every $z \in \mathbb{C}$.

Paley-Wiener Theorems

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Real Paley-Wiener Theorem (Bang, 1990, Proc. AMS)

Let $1 \leq p \leq +\infty$ and $f \in C^\infty(\mathbb{R})$ such that $f^{(n)} \in L^p(\mathbb{R})$ for every $n \in \mathbb{N}_0$. Then the following limit exists

$$\lim_{n \rightarrow \infty} \|f^{(n)}\|_p^{1/n} = R,$$

where $R = \sup\{|\xi| : \xi \in \text{supp } \hat{f}(\xi)\}$.

Proof (simple case)

$$\lim_{n \rightarrow \infty} \|f^{(n)}\|_p^{1/n} = R, \quad R = \sup\{|\xi| : \xi \in \text{supp } \hat{f}(\xi)\}$$

Case $p=2$

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$$\Rightarrow \liminf_{n \rightarrow \infty} \|f^{(n)}\|_2^{1/n} \geq R - \varepsilon, \quad \forall \varepsilon > 0.$$

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Theorem (Andersen, 2004, Bull. London Math. Soc.)

Define, for $R > 0$,

$$PW_R(\mathbb{R}) := \{f \in C^\infty(\mathbb{R}) : \text{for all } N \in \mathbb{N}_0, \\ \sup_{x \in \mathbb{R}, n \in \mathbb{N}_0} R^{-n} n^{-N} (1 + |x|)^N |f^{(n)}(x)| < \infty\}.$$

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1) $PW_R(\mathbb{R}) \subset \mathcal{S}(\mathbb{R})$.

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Then

- 1) $PW_R(\mathbb{R}) \subset \mathcal{S}(\mathbb{R})$.
- 2) The Fourier transform \mathcal{F} is a bijection from $PW_R(\mathbb{R})$ onto

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As a consequence of this theorem, Andersen gives an alternative proof of the result of Bang, simpler than the original one.

Aims of this work

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- 1 Define the Paley-Wiener space PW_R in the ultradifferentiable setting and give corresponding real Paley-Wiener theorems in the lines of Bang and Andersen.
- 2 Analyze the relations between the size of the support of the Fourier transform of a function f and time-frequency representations, and give new real Paley-Wiener theorems involving Gabor and Wigner transform.

Ultradifferentiable setting

Definition

A **non-quasianalytic weight function** is a continuous increasing function $\omega : [0, +\infty) \rightarrow [0, +\infty)$ satisfying:

(α) There exists $L > 0$ such that $\omega(2t) \leq L(\omega(t) + 1)$, $\forall t \geq 0$;

(β) $\int_1^{+\infty} \frac{\omega(t)}{t^2} dt < +\infty$;

(γ) $\exists a \in \mathbb{R}, b > 0$ s.t. $\omega(t) \geq a + b \log(1 + t)$, $\forall t \geq 0$;

(δ) $\varphi_\omega : t \mapsto \omega(e^t)$ is convex.

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Definition (Björck, 1966)

$\mathcal{S}_\omega(\mathbb{R}^d)$ is the set of all $u \in L^1(\mathbb{R}^d)$ such that $u, \hat{u} \in C^\infty(\mathbb{R}^d)$ and

(i) $\forall \lambda > 0, \alpha \in \mathbb{N}_0^d : \sup_{x \in \mathbb{R}^d} e^{\lambda \omega(x)} |D^\alpha u(x)| < +\infty$;

(ii) $\forall \lambda > 0, \alpha \in \mathbb{N}_0^d : \sup_{\xi \in \mathbb{R}^d} e^{\lambda \omega(\xi)} |D^\alpha \hat{u}(\xi)| < +\infty$.

Characterization of $\mathcal{S}_\omega(\mathbb{R}^d)$

Theorem

For $u \in \mathcal{S}(\mathbb{R}^d)$, $u \in \mathcal{S}_\omega$ iff one of the following equivalent conditions is satisfied:

- $\forall \lambda > 0, \alpha \in \mathbb{N}_0^d : \|e^{\lambda\omega(x)} D^\alpha u(x)\|_{L^p} < +\infty, \quad p \in [1, \infty]$
 $\forall \lambda > 0, \alpha \in \mathbb{N}_0^d : \|e^{\lambda\omega(\xi)} D^\alpha \hat{u}(\xi)\|_{L^q} < +\infty, \quad q \in [1, \infty]$
- $\forall \lambda > 0, \alpha \in \mathbb{N}_0^d : \|e^{\lambda\omega(x)} x^\alpha u(x)\|_{L^p} < +\infty$
 $\forall \lambda > 0, \alpha \in \mathbb{N}_0^d : \|e^{\lambda\omega(\xi)} \xi^\alpha \hat{u}(\xi)\|_{L^q} < +\infty$
- $\forall \lambda > 0 : \|e^{\lambda\omega(x)} u(x)\|_{L^p} < +\infty$
 $\forall \lambda > 0 : \|e^{\lambda\omega(\xi)} \hat{u}(\xi)\|_{L^q} < +\infty$
- $\forall \beta \in \mathbb{N}_0^d, \lambda > 0 \exists C_{\beta,\lambda} > 0 : \|x^\beta D^\alpha u(x)\|_{L^p} e^{-\lambda\varphi_\omega^*\left(\frac{|\alpha|}{\lambda}\right)} \leq C_{\beta,\lambda} \quad \forall \alpha \in \mathbb{N}_0^d$
 $\forall \alpha \in \mathbb{N}_0^d, \mu > 0 \exists C_{\alpha,\mu} > 0 : \|x^\beta D^\alpha u(x)\|_{L^q} e^{-\mu\varphi_\omega^*\left(\frac{|\beta|}{\mu}\right)} \leq C_{\alpha,\mu} \quad \forall \beta \in \mathbb{N}_0^d$
- $\forall \mu, \lambda > 0, \exists C_{\mu,\lambda} > 0 : \|x^\beta D^\alpha u(x)\|_{L^p} e^{-\lambda\varphi_\omega^*\left(\frac{|\alpha|}{\lambda}\right)} e^{-\mu\varphi_\omega^*\left(\frac{|\beta|}{\mu}\right)} \leq C_{\mu,\lambda} \quad \forall \alpha, \beta$
- $\forall \lambda > 0, \exists C_\lambda > 0 : \|x^\beta D^\alpha u(x)\|_{L^p} e^{-\lambda\varphi_\omega^*\left(\frac{|\alpha+\beta|}{\lambda}\right)} \leq C_\lambda \quad \forall \alpha, \beta \in \mathbb{N}_0^d$
- $\forall \mu, \lambda > 0, \exists C_{\mu,\lambda} > 0 : \|e^{\mu\omega(x)} D^\alpha u(x)\|_{L^p} e^{-\lambda\varphi_\omega^*\left(\frac{|\alpha|}{\lambda}\right)} \leq C_{\mu,\lambda} \quad \forall \alpha \in \mathbb{N}_0^d$
- $\varphi \in \mathcal{S}_\omega(\mathbb{R}^d) \setminus \{0\}; \forall \lambda > 0 : \|V_\varphi u(z) e^{\lambda\omega(z)}\|_{L^{p,q}} < +\infty$

Paley-Wiener Theorem in ultradifferentiable classes

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Theorem (Braun, Meise, Taylor, 1990)

The function $f \in \mathcal{S}_\omega(\mathbb{R}^d)$ satisfies

$$\text{supp } \hat{f} \subset K$$

if and only if f is an entire function and for all $\ell \in \mathbb{N}_0$ there exists $C_\ell > 0$ such that

$$|f(z)| \leq C_\ell e^{H_K(\text{Im } z) - \ell \omega(z)}$$

for all $z \in \mathbb{C}^d$.

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$$\sup_{\alpha \in \mathbb{N}_0^d} \sup_{x \in \mathbb{R}^d} R^{-|\alpha|} e^{\lambda \omega\left(\frac{x}{|\alpha|+1}\right)} |f^{(\alpha)}(x)| < +\infty.$$

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Notation

We denote

$$R_{\hat{f}} := \sup\{|\xi|_\infty : \xi \in \text{supp } \hat{f}\}.$$

In the following it may happen that $R = +\infty$.

Proof of (2) of the Theorem, sufficiency

Assume $f \in \mathcal{S}_\omega(\mathbb{R}^d)$ satisfies $\sup\{|\xi|_\infty : \xi \in \text{supp } \hat{f}\} = R < +\infty$.

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$$|D^\alpha f(x)| = \frac{1}{(2\pi)^d} \left| \int_{\mathbb{R}^d} \mathcal{F}(D^\alpha f)(\xi) e^{i\langle x, \xi \rangle} d\xi \right| \leq \frac{1}{|x|^{2N}} \int_{\mathbb{R}^d} |\Delta_\xi^N \xi^\alpha \hat{f}(\xi)| d\xi$$

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$$\begin{aligned} |D^\alpha f(x)| &= \frac{1}{(2\pi)^d} \left| \int_{\mathbb{R}^d} \mathcal{F}(D^\alpha f)(\xi) e^{i\langle x, \xi \rangle} d\xi \right| \leq \frac{1}{|x|^{2N}} \int_{\mathbb{R}^d} |\Delta_\xi^N \xi^\alpha \hat{f}(\xi)| d\xi \\ &\leq \frac{1}{|x|^{2N}} \int_{\mathbb{R}^d} \sum_{|\nu|=N} \frac{N!}{\nu!} \left| D_{\xi_1}^{2\nu_1} \dots D_{\xi_d}^{2\nu_d} \left(\xi_1^{\alpha_1} \dots \xi_d^{\alpha_d} \hat{f}(\xi) \right) \right| d\xi \end{aligned}$$

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Let $f \in PW_R^\omega(\mathbb{R}^d)$.

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We conclude since $|\xi|_\infty > R$ implies $\xi_1^{2N} + \dots + \xi_d^{2N} > R^{2N}$.

Theorem

Let $1 \leq p \leq +\infty$ and $f \in C^\infty(\mathbb{R}^d)$. We have:

① If $f^{(\alpha)}(x) \in L^p(\mathbb{R}^d)$ for all $\alpha \in \mathbb{N}_0^d$, we have

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- 2 Assume that $e^{\lambda\omega(\frac{x}{|\alpha|+1})} f^{(\alpha)}(x) \in L^p(\mathbb{R}^d)$ for all $\alpha \in \mathbb{N}_0^d$ and for some $\lambda > 0$, and that the weight function ω satisfies some mild conditions. Then

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Real Paley-Wiener Theorem in ultradifferentiable classes

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- The first part is an extension to several variables of previous results of Bang and Andersen.
- The second part is satisfied for example when ω is sub-additive.

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Assume $p < \infty$. Take $\phi \in \mathcal{S}_\omega(\mathbb{R}^d)$ such that $\hat{\phi}$ has compact support. We have $\phi \in \text{PW}_{R_{\hat{\phi}}}^\omega(\mathbb{R}^d)$ and it is easy to see that

$$\limsup_{n \rightarrow +\infty} \left(\max_{|\alpha|=n} \left\| e^{\lambda \omega\left(\frac{x}{|\alpha|+1}\right)} \phi^{(\alpha)}(x) \right\|_{L^p}^{1/n} \right) \leq R_{\hat{\phi}}, \quad \lambda > 0.$$

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Therefore

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By the arbitrariness of $\varepsilon > 0$ and then of $\xi^0 \in \text{supp } \hat{f}$:

$$R_{\hat{f}} \leq \liminf_{n \rightarrow +\infty} \left(\max_{|\alpha|=n} \left\| e^{\lambda \omega(\frac{x}{n+1})} f^{(\alpha)}(x) \right\|_{L^p}^{1/n} \right).$$

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Gabor transform

$$V_{\psi} f(x, \xi) := \int_{\mathbb{R}^d} f(y) \overline{\psi(y-x)} e^{-iy\xi} dy, \quad (x, \xi) \in \mathbb{R}^{2d}$$

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Wigner transform

$$\text{Wig } f(x, \xi) := \int_{\mathbb{R}^d} f\left(x + \frac{t}{2}\right) \overline{f\left(x - \frac{t}{2}\right)} e^{-i\xi t} dt, \quad (x, \xi) \in \mathbb{R}^{2d}$$

Paley-Wiener Theorems and Time-Frequency analysis

$$\text{PW}_R^\omega(\mathbb{R}^d) = \{f \in C^\infty(\mathbb{R}^d) : \forall \lambda > 0, \sup_{\alpha \in \mathbb{N}_0^d} \sup_{x \in \mathbb{R}^d} R^{-|\alpha|} e^{\lambda \omega\left(\frac{x}{|\alpha|+1}\right)} |f^{(\alpha)}(x)| < +\infty\}.$$

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Let $T, R > 0$ and $\psi \in PW_T^\omega(\mathbb{R}^d)$. We define

$$PWG_R^{\omega, \psi}(\mathbb{R}^d) := \{f \in C^\infty(\mathbb{R}^d) \cap \mathcal{S}'_\omega(\mathbb{R}^d) : \forall \lambda, \mu > 0$$

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Paley-Wiener Theorems and Time-Frequency analysis

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Example

Let $f \in \mathcal{S}_\omega(\mathbb{R})$ with $\text{supp } \hat{f} \subseteq [R_{\hat{f}} - \mu, R_{\hat{f}}]$ for some $0 < \mu < R_{\hat{f}} < +\infty$. Then

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since $\Pi_\xi \text{supp } V_f f(x, \xi) = [-\mu, \mu]$.

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Paley-Wiener Theorems and Time-Frequency analysis

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Concerning the **Wigner transform**, we recall that

$$\text{Wig } f(x, \xi) = 2e^{2ix\xi} V_{\tilde{f}} f(2x, 2\xi), \quad \text{where } \tilde{f}(y) = f(-y).$$

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An example

For $k \in \mathbb{N}_0$, let e_k be the Hermite function on \mathbb{R} defined by

$$e_k(x) = \frac{1}{(2^k k! \sqrt{\pi})^{1/2}} e^{-x^2/2} H_k(x), \quad H_k(x) = (-1)^k e^{x^2} \frac{d^k}{dx^k} e^{-x^2}.$$

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- 3 $\hat{e}_k(\xi) = \lambda e_k(\xi)$, $0 \neq \lambda \in \mathbb{C}$. Then, $R_{\hat{e}_k} = \sup\{|\xi| : \xi \in \text{supp } \hat{e}_k\} = +\infty$.
- 4 We then have that for every $\mu, \lambda \geq 0$, $p, q \in [1, +\infty]$,

$$\lim_{n \rightarrow +\infty} \left\| e^{\lambda\omega(\frac{x}{n+1}) + \mu\omega(\xi)} |\xi|^n \hat{e}_{k,k}(x, \xi) \right\|_{L^{p,q}}^{1/n} = +\infty;$$

$$\lim_{n \rightarrow +\infty} \left\| e^{\lambda\omega(\frac{x}{n+1})} \frac{d^n}{dx^n} e_k(x) \right\|_{L^p}^{1/n} = +\infty.$$

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Theorem

Let $P \in \mathbb{C}[x_1, \dots, x_d]$ a polynomial of degree $m \geq 1$. Let $f \in \mathcal{S}_\omega(\mathbb{R}^d)$. Then the following conditions are equivalent:

(a) $\forall \lambda > 0 \exists C_\lambda > 0$ such that $\forall n \in \mathbb{N}_0, x \in \mathbb{R}^d$

$$|P(D)^n f(x)| \leq C_\lambda R^n e^{-\lambda\omega\left(\left|\frac{x}{n+1}\right|^{1/m}\right)};$$

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Corollary

If $P \in \mathbb{C}[x_1, \dots, x_d]$ is a polynomial of degree $m \geq 1$, $f \in \mathcal{S}_\omega(\mathbb{R}^d)$ and $1 \leq p \leq \infty$, we have, for all $\lambda \geq 0$,

$$\lim_{n \rightarrow +\infty} \left\| e^{\lambda \omega\left(\left|\frac{x}{n+1}\right|^{1/m}\right)} P(D)^n f(x) \right\|_{L^p}^{1/n} = R(P, \hat{f}).$$

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Assume $R(P, \hat{f}) < +\infty$. By the Theorem, for every $R \geq R(P, \hat{f})$ and every $n \in \mathbb{N}$, we have

$$\left\| e^{\lambda \omega \left(\left| \frac{x}{n+1} \right|^{1/m} \right)} P(D)^n f(x) \right\|_{L^p} \leq (n+1)^{d/p} C_{\lambda+\mu} \left\| e^{-\mu \omega(|x|^{1/m})} \right\|_{L^p} R^n.$$

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We deduce that

$$\limsup_{n \rightarrow +\infty} \left\| e^{\lambda \omega \left(\left| \frac{x}{n+1} \right|^{1/m} \right)} P(D)^n f(x) \right\|_{L^p}^{1/n} \leq R,$$

for each $R \geq R(P, \hat{f})$.

Example 1

Let $P \in \mathbb{C}[\xi_1, \dots, \xi_d]$ be a polynomial of degree $m \geq 1$. If P is hypoelliptic, then

$$V_R := \{\xi \in \mathbb{R}^d : |P(\xi)| \leq R\}$$

is compact.

Examples

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Example 2

On the contrary, the fact that V_R is compact does not imply that P is hypoelliptic. Take, for instance,

$$P(z) = z_1^2 - z_2^2 + iz_2, \quad z_1, z_2 \in \mathbb{C}.$$

In this case

$$V_R = \{\xi \in \mathbb{R}^2 : |\xi_1^2 - \xi_2^2 + i\xi_2| \leq R\}$$

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where $\pm \sqrt{-1 + 4z_1^2}$ denote the two complex roots of $4z_1^2 - 1$.

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where $\pm \sqrt{-1 + 4z_1^2}$ denote the two complex roots of $4z_1^2 - 1$. Take, for instance,

$$\xi = \left(\xi_1, \frac{i + \sqrt{4\xi_1^2 - 1}}{2} \right) \in V, \quad \text{for } \xi_1 \in \mathbb{R},$$

we have that $|\xi| \rightarrow +\infty$ for $|\xi_1| \rightarrow +\infty$, but

$$|\operatorname{Im} \xi| = \left| \left(0, \frac{1}{2} \right) \right| = \frac{1}{2}.$$