

Regularity of CR maps into uniformly pseudoconvex hypersurfaces

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The regularity problem for CR maps

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If the Levi form of a hypersurface $M \subset \mathbb{C}^N$ has two nonzero eigenvalues of different signs, any CR function extends to a holomorphic function on both sides of M .

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Example 1 (Forsterič 1989)

A CR map $h : \mathbb{S}^{2N-1} \rightarrow \mathbb{S}^{2N'-1}$ of class $C^{N'-N+1}$ extends to a rational map on a neighborhood of $\mathbb{S}^{2N-1} \subset \mathbb{C}^N$.

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Sketch of proof: At any $p \in M$, take Z_p with $\Re(Z_p) > 0$ on $M \setminus \{p\}$ and $Z_p(p) = 0$. Then $Z_p^{\kappa+\frac{1}{2}}$ (standard branch cut) is C^κ but not $C^{\kappa+1}$ on M .

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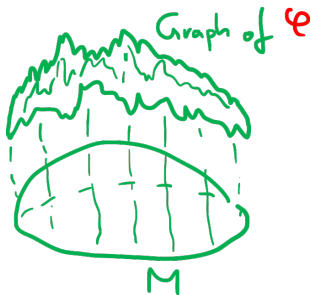
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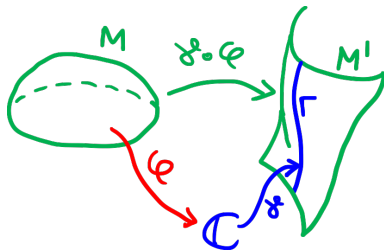
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Examples of irregularity

Example 3

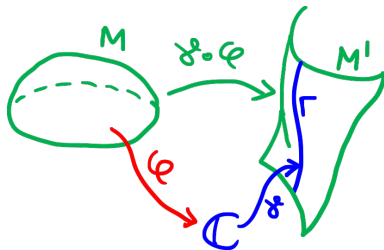
If $M' \in \mathbb{C}^{N'}$ contains a complex curve parametrized by γ , then $h := \gamma \circ \phi : M \rightarrow M'$ is a C^k , but nowhere C^∞ -smooth CR map.



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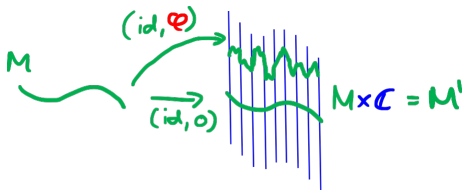
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Example 4

If $M' = M \times \mathbb{C}$, the CR map $h : M \rightarrow M'$ given by $h(q) = (q, \phi(q))$ is a C^k , but nowhere C^∞ -smooth map.



Some necessary definitions

For $X \subseteq \mathbb{C}^{N'}$, let $\mathcal{I}_X(p') \subseteq C^\infty(\mathbb{C}^{N'}, p')$ be the vanishing ideal of X at p .

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Complex gradients and their derivatives

Consider a CR map $h : M \rightarrow M' \subseteq \mathbb{C}_w^{N'}$. We define

$$r_0(p) := \dim_{\mathbb{C}} \langle \{ \rho_w \circ h(p) : \rho \in \mathcal{I}_{h(M)}(h(p)) \} \rangle,$$

$$r_k(p) := \dim_{\mathbb{C}} \langle \{ \bar{L}_1 \dots \bar{L}_j (\rho_w \circ h)(p) : \rho \in \mathcal{I}_{h(M)}(h(p)), \bar{L}_1, \dots, \bar{L}_j \in \mathcal{V}_p(M), 0 \leq j \leq k \} \rangle,$$

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Formal complex manifolds

A *formal complex manifold tangential to infinite order to $X \subseteq \mathbb{C}^{N'}$ at p'* is a power series $\Gamma \in \mathbb{C}[[t_1, \dots, t_k]]^{N'}$ such that $\gamma_0 = p'$, $\text{rk}(\gamma_t) = k$ and such that $\mathcal{T}_\rho \circ \Gamma \equiv 0$ as power series for $\rho \in \mathcal{I}_X(p')$.

A theorem on “formal foliations”

Theorem (Lamel & Mir 2018)

Let $M \subset \mathbb{C}^N$ be a minimal CR submanifold and $h : M \rightarrow \mathbb{C}^{N'}$ be a CR map of class $C^{N'-k+l}$ for some $k, l \in \mathbb{N}$. Assume that $r_k \geq l$.

Then there exists a dense open subset $O \subseteq \text{SingSupp}(h)^\circ$ such that for every $p \in O$, there exists a neighborhood $V \subseteq O$ of p ,

an integer $r \geq 1$ and a C^1 -smooth CR family of formal complex submanifolds $(\Gamma_\xi)_{\xi \in V}$ of dimension r through $h(V)$ for which Γ_ξ is tangential to infinite order to $h(M)$ at $h(\xi)$, for every $\xi \in V$.

In particular, $h(O) \subseteq \mathcal{E}_{h(M)}$.



Uniformly pseudoconvex hypersurfaces

The Levi null space

The *Levi null space* $\mathcal{N} \subseteq T^{0,1}M$ of a CR manifold M is given by $\mathcal{N}_p = \{\bar{L}|_p : [\bar{L}, \Gamma] \in \Gamma_p(T^{0,1}M \oplus T^{1,0}M) \text{ for all } \Gamma \in \Gamma_p(T^{1,0}M)\}$.

The Levi foliation (Sommer 1959, Freeman 1974)

Let M be a CR submanifold such that \mathcal{N} has constant rank across M . Then there exists a foliation η of M by complex manifolds such that $\mathcal{N}_p = T_p^{0,1}\eta$ at all $p \in M$.

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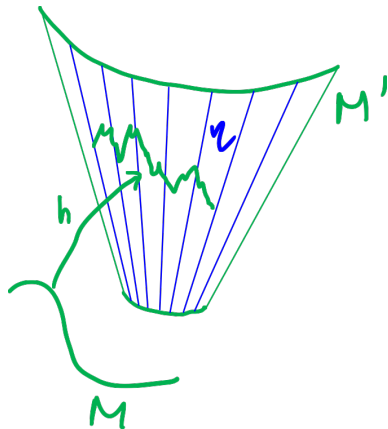
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Sketch of sketch of proof. Let $N = \Re(\mathcal{N})$. Check that $\Gamma_q(N) = \Gamma_q(T^c M) \cap \mathfrak{n}(\Gamma_q(T^c M))$, a Lie subalgebra of $\Gamma_q(TM)$. Frobenius theorem \Rightarrow Existence of η . As $N_q \subseteq T_q\mathbb{C}^n$ are complex subspaces, η consists of complex manifolds.

CR maps into uniformly pseudoconvex hypersurfaces

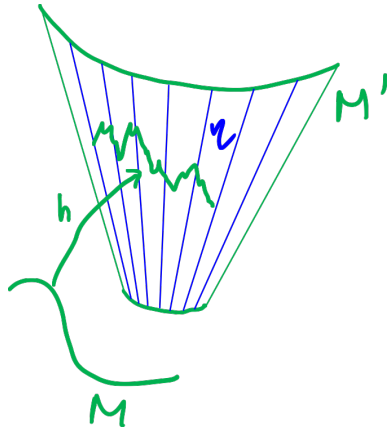
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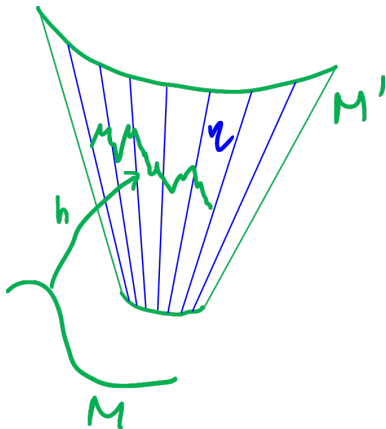


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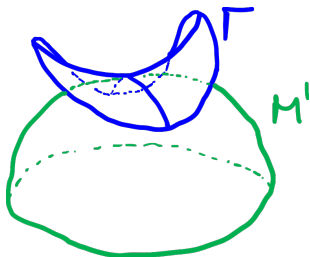
But pseudoconvexity makes it easy to understand tangency of (formal) complex manifolds.



CR maps into uniformly pseudoconvex hypersurfaces

Lemma 1

If a formal complex curve $\Gamma = p' + t\gamma_t + \frac{t^2}{2}\gamma_{tt} + \dots$ is tangential to infinite order to M' , then $\gamma_t \in T\eta$.

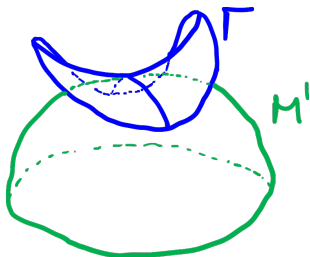


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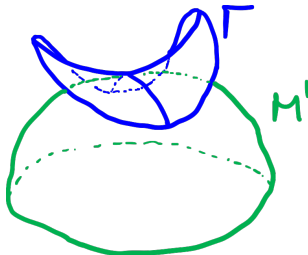


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Lemma 2

The map R defined by $R(\bar{L}, \psi) = \mathbb{P}_{T^\perp\eta}(\bar{L}\psi)$ for $\bar{L} \in \Gamma(T^{0,1}M')$ and $\psi \in \Gamma(T\eta)$ is a tensor, and $T_p^{0,1}\eta \subseteq \ker R_p(\cdot, \psi)$.

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Proposition 1

Let M' be a uniformly pseudoconvex hypersurface with Levi foliation η , M be a minimal CR submanifold and $h : M \rightarrow M'$ be a C^1 CR map. Suppose there exists $p \in M$ mapped to $p' := h(p)$, and a C^1 CR family of formal complex curves $(\Gamma_q)_{q \in O}$ defined on a neighborhood $O \subseteq M$ of p such that Γ_q is tangential to second order to M' at $h(q)$ for all $q \in O$. Define R as in Lemma 2.

Then $\gamma_t(p) \in T_{p'}\eta$ and $h_* T_p^{0,1} M \subseteq \ker R_{p'}(\cdot, \gamma_t(p))$.

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Sketch of proof. If $h_*\bar{L}|_p = 0$, nothing to show. If not, there's a 2D slice $S \subset M$ through p with $T_p^{1,0}S = \langle \bar{L}|_p \rangle_{\mathbb{C}}$, which is immersed into M'
→ differentiate γ_t along that.

CR maps into uniformly pseudoconvex hypersurfaces

Measuring the size of the kernel

For $q \in M'$, define

$$\nu_q = \max_{0 \neq V \in T_q \eta} \dim_{\mathbb{C}} \ker R_q(\cdot, V) - \dim_{\mathbb{C}} \eta$$

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Proposition 2

Let $M' \subset \mathbb{C}^{N'}$ be a uniformly pseudoconvex hypersurface with Levi foliation η , M be a *minimal* CR manifold and $h : M \rightarrow M'$ be a $C^{N'-1}$ -regular CR map $h : M \rightarrow M'$ mapping $p \in M$ to $p' \in M'$.

If $\nu_{p'} = 0$, then there exists an open neighborhood O of p such that each connected open set $\tilde{O} \subseteq \text{SingSupp}(h)^\circ$ is mapped into a single leaf $\eta_{h(q)}$.

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Sketch of proof. Choose $O' \subseteq M'$ s.t. $\nu_{O'} = 0$ and let $O = h^{-1}(O')$. Suppose $\tilde{O} \subseteq \text{SingSupp}(h)^\circ \cap O$ is connected. By prop. 1 and the formal foliation theorem, $h_* T^{0,1} \tilde{O} \subseteq T\eta$ on a dense open subset, but that is a closed property, thus $h_* T^{0,1} \tilde{O} \subseteq T\eta$.

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For generic $q \in \tilde{O}$, $h^{-1}(h(q))$ is a manifold integrating $T^{0,1}M$, thus minimality implies that $h^{-1}(h(q))$ is open $\Rightarrow h_* T_q \tilde{O} \in T_q \eta$. That's a closed property too. Thus $h_* T \tilde{O} \subseteq T\eta$, finish by connectedness of \tilde{O} . \square

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Let $M' \subset \mathbb{C}^{N'}$ be a uniformly pseudoconvex hypersurface with Levi foliation η , M be a *pseudoconvex* hypersurface with at least n_+ positive Levi eigenvalues and $h : M \rightarrow M'$ be a $C^{N'-n_+}$ -regular *CR transversal* CR map mapping $p \in M$ to $p' \in M'$.

If $\nu_{p'} < n_+$, then h is C^∞ -smooth on a dense open subset of some neighborhood of p .

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Sketch of proof. Choose $O' \subseteq M'$ s.t. $\nu_{O'} < n_+$ and let $O = h^{-1}(O')$. CR transversality and strong pseudoconvexity of a $(1 + 2n_+)$ -dimensional slice of M through q yield first $r_1 > n_+$ and then $\dim_{\mathbb{C}} h_* T_q^{0,1} M \geq n_+$ at any $q \in M$, contradicting prop. 1 and the formal foliation theorem on O . \square

Maps into boundaries of classical symmetric domains

Bounded symmetric domain

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Computing ν on the boundary of classical symmetric domains

$D_I^{m,n} \subseteq \mathbb{C}^{m \times n}$:	$n_+ = m + n - 2$	$\nu = m + n - 4$
$D_{II}^m \subseteq \mathbb{C}^{m(m-1)/2}$:	$n_+ = 2m - 4$	$\nu = 2m - 8$
$D_{III}^m \subseteq \mathbb{C}^{m(m+1)/2}$:	$n_+ = m - 1$	$\nu = m - 1$
$D_{IV}^m \subseteq \mathbb{C}^m$:	$n_+ = m - 2$	$\nu = 0$